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Technology Center 2100

ATTO RNEY'S DOCKET NO: E0295/7040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Steven M. Blumenau, et al.

Serial No:

09/107,684

Filed:

June 30, 1998

For:

METHOD AND APPARATUS FOR INITIALIZING LOGICAL OBJECTS IN A

DATA STORAGE SYSTEM

Examiner:

Yamir Encarnacion

Art Unit:

2751

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CERTIFICATE OF MAILING UNDER C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 6th day of February, 2001.

Kelly L. Crawford

Commissioner for Patents Washington, D.C. 20231

Sir:

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of a final action under 37 C.F.R. §1.113. For the reasons asserted in Applicants' response dated January 23, 2001 to the Final Office Action mailed October 25, 2000, Applicants believe that the Final Office Action is improper and should be withdrawn. In the event that the Final Office Action is withdrawn and this Information Disclosure Statement is considered, Applicants' attorneys hereby authorize the fee as set forth in 37 CFR 1.17(p) to be charged to the account of the undersigned, Deposit Account 23/2825.

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PART II: - Information Cited

The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicants hereby make the following additional information of record in the above-identified application:

The Examiner is hereby notified of commonly owned, co-pending U.S. patent application serial nos. 09/107,923, 09/107,613, 09/107,538, and 09/108,038, all filed on June 30, 1998 that disclose subject matter related to the subject matter disclosed in the present application. Copies of the above-referenced applications are enclosed.

PART III: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Docket No. E0295/7040 Dated: February 6, 2001

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Art Unit: 2751

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Steven M. Blumenau, et al., Applicants

By

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